

**LAWYERS PROFESSIONAL LIABILITY APPLICATION
SUPPLEMENT F- SECURITIES
PART II
STATE OF MAINE**

		Name of Issuer	Name of Issuer
1.	Date of Offering		
2.	Type of Offering		
3.	Description of Security		
4.	Type of Business		
5.	Did Firm Render Tax Option		
6.	Date of Issuer Incorporation/Formation		
7.	Dollar Size of Offering		
8.	Which Party did Firm Represent?		
9.	Months as Client		
10.	Is Firm Affiliated with Issuer?		
11.	Did/will Firm Invest in Offering? To What Extent?		
12.	Rating of Issue/Bond		
13.	Rating Service		
14.	Underwriter		
15.	Accountant		
16.	Number of States Where Offered		
17.	Market Where Traded		
18.	Responsible Lawyer		
19.	Do any Lawyers Act as Director if Officer for Issuer?		

For Type of Offering indicate: PR - Private Placement SPO - Secondary Public Offering SY - Syndication
 IPO - Initial Public Offering B - Bond (Private) M - Municipal Financing
 For Which Party Did Firm Represent indicate: I - Issuer L - Lender P - Purchaser O - Other (specify)
 U - Underwriter IC - Insurance Company A - Auditor

 Authorized Representative of the Firm

 Date:

 Print Name

 Attest

 Title (Must be signed by the managing Partner,
 managing executive, principal, business manager
 or risk manager of the Firm)

NOTICE TO MAINE APPLICANTS: IT IS A CRIME TO KNOWINGLY PROVIDE FALSE, INCOMPLETE OR MISLEADING INFORMATION TO AN INSURANCE COMPANY FOR THE PURPOSE OF DEFRAUDING THE COMPANY. PENALTIES MAY INCLUDE IMPRISONMENT, FINES OR A DENIAL OF INSURANCE BENEFITS.